UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.   | FILING DATE          | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|----------------------|----------------------|---------------------|------------------|
| 10/506,925  | 09/07/2004           | Dong-Seung Seen      | 100528.0007US1      | 7725             |
| 34284 7590 01/16/2007 ROBERT D. FISH RUTAN & TUCKER LLP 611 ANTON BLVD 14TH FLOOR COSTA MESA, CA 92626-1931 |                      | EXAM                 | EXAMINER            |                  |
|   |                      |                      | MAKAR, KIMBERLY A   |                  |
|   |                      |                      | ART UNIT            | PAPER NUMBER     |
|   | •                    |                      | 1636                | ,                |
|   |                      |                      |                     | <u> </u>         |
| SHORTENED STATUTOR  | Y PERIOD OF RESPONSE | MAIL DATE            | DELIVERY MODE       |                  |
| 3 MONTHS  |                      | 01/16/2007           | PAPER               |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

|  | Application No.  | Applicant(s)   |              |  |
|--|--|--|--------------|--|
|  | 10/506,925   | SEEN ET AL.  | SEEN ET AL.  |  |
| Office Action Summary  | Examiner   | Art Unit   |              |  |
|  | Kimberly A. Makar  | 1636   |              |  |
| The MAILING DATE of this communication app<br>Period for Reply   | pears on the cover sheet w   | vith the correspondence a  | ddress       |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE = Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNI 36(a). In no event, however, may a vill apply and will expire SIX (6) MO , cause the application to become A | ICATION. reply be timely filed  NTHS from the mailing date of this BANDONED (35 U.S.C. § 133). |              |  |
| Status   |  | <b>:</b><br>•  |              |  |
| 1)⊠ Responsive to communication(s) filed on <u>25 O</u>  | ctoher 2006  |  |              |  |
|  | action is non-final.   | :<br>:   |              |  |
| 3) Since this application is in condition for allowar  |  | ters prosecution as to th  | e merite is  |  |
| closed in accordance with the practice under E   | *  |  | e mento io   |  |
| Closed in additional with the produce drider E   | in parte dadyle, 1000 o.t  | 5. 11, <del>400</del> 0.0. 210.  | · ·          |  |
| Disposition of Claims  |  | :<br>:   |              |  |
| 4)⊠ Claim(s) <u>1-15</u> is/are pending in the application.  |  | •  |              |  |
| 4a) Of the above claim(s) 2,3,5,7,9,11 and 13 is   | s/are withdrawn from con   | sideration.  |              |  |
| 5) Claim(s) is/are allowed.  |  |  |              |  |
| 6) Claim(s) 4,6,8,10,12,14,15 and 21 is/are reject   | ed.  |  |              |  |
| 7) Claim(s) is/are objected to.  | :  | •  |              |  |
| 8) Claim(s) are subject to restriction and/o   | r election requirement.  | · .  |              |  |
| Application Papers   | ·  |  |              |  |
| 9) The specification is objected to by the Examine   |  | :  |              |  |
| 10) ☐ The specification is objected to by the Examine 10) ☐ The drawing(s) filed on <u>07 September 2004</u> is/a  |  | Tablested to by the Eve  | una in a n   |  |
|  | , ,  | •  | immer.       |  |
| Applicant may not request that any objection to the  |  |  | NED 4 404(4) |  |
| Replacement drawing sheet(s) including the correct  11) The oath or declaration is objected to by the Ex   |  | · ·  |              |  |
| TI) The bath of declaration is objected to by the Ex   | animer. Note the attache   | d Office Action of form P  | 10-152.      |  |
| Priority under 35 U.S.C. § 119   |  | :  | •            |  |
| 12) Acknowledgment is made of a claim for foreign  | priority under 35 U.S.C.   | § 119(a)-(d) or (f).   |              |  |
| a)⊠ All b)□ Some * c)□ None of:  |  |  | ,            |  |
| 1. ☐ Certified copies of the priority document   |  |  |              |  |
| 2. Certified copies of the priority documents  |  |  |              |  |
| 3. Copies of the certified copies of the prior   |  | n received in this Nationa   | l Stage      |  |
| application from the International Bureau  | , , ,  |  |              |  |
| * See the attached detailed Office action for a list   | of the certified copies not  | t received.  |              |  |
|  |  |  |              |  |
| ,  |  | •  |              |  |
| Attachment(s)  |  | :<br>:   |              |  |
| 1) X Notice of References Cited (PTO-892)  | 4) Intention   | Summary (PTO-413)  |              |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  |  | (s)/Mail Date  |              |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08)   | · —  | Informal Patent Application  |              |  |
| Paper No(s)/Mail Date  | 6)   |  |              |  |

Application/Control Number: 10/506,925 Page 2

Art Unit: 1636

### **DETAILED ACTION**

## Response to Arguments

1. Applicant's election without traverse of group I in the reply filed on 10/25/06 is acknowledged.

2. Claims 2-3, 5, 7, 9,11, and 13 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 10/25/06.

### Claim Objections

- 3. Claim 4 is objected to because of the following informalities: Claim 4 is not grammatically correct. Claim 4 misspells the amino acid "proline" as "prolin" and also the amino acid "leucine" as "leucin." Appropriate correction is required.
- 4. Claim 6 is objected to because of the following informalities: Claim 6 is not grammatically correct. Claim 6 is missing the article "a" between "comprising foreign peptide or protein" thus should read "comprising a foreign peptide or protein". It is also missing the article "a" between the phrase "part of protein" and should read "part of a protein." Appropriate correction is required.
- 5. Claim 10 is objected to because of the following informalities: Claim 10 is not grammatically correct. Claim 10 is missing the article "the" between "wherein peptide or protein" thus should read "wherein the peptide or protein". It is also missing the article

Art Unit: 1636

"the" in the phrase "a part of protein binds calcium" and should read "a part of the protein binds calcium." Appropriate correction is required.

6. Claim 12 is objected to because of the following informalities: Claim 12 is not grammatically correct. Claim 12 is missing the article "a" between "comprising DEVD" thus should read "comprising a DVED". Appropriate correction is required.

# Claim Rejections - 35 USC § 112

- 7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 8. Claims 1, 4, 6, 8,10,12, and 14-15 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 9. Claim 1 (and dependent claims) recites an enhanced inserted yellow fluorescent protein, wherein the 145<sup>th</sup> amino acid of YFP (yellow fluorescent protein) comprises amino acid sequence of YGGSGAS (SEQ ID NO:1). It is unclear if SEQ ID NO:1 is directed to an entire enhanced inserted yellow fluorescent protein (that comprises more than 145 amino acids) or the amino acid sequence YGGSGAS listed in the claim. The sequence listing provided by applicant lists an amino acid sequence comprising 738 residues. However there is no sequence comprising YGGSGAS at amino acid 145, or listed anywhere in SEQ ID NO:1 at all. It is unclear if the amino acid sequence YGGSAS is inserted at the threonine listed at amino acid 145 of SEQ ID NO:1. The

Art Unit: 1636

specification teaches that the amino acid of GFP (not YFP) from which all other fluorescent proteins are derived has a tyrosine located at amino acid 145 (page 2, lines 10-15). Thus there appears to be inconsistencies regarding what protein is listed in SEQ ID NO:1.

- 10. Further inspection of SEQ ID NO: 1 reveals some peculiarities. A review of the amino acids present in SEQ ID NO: 1 only reveal 4 amino acids total: Alanine, Threonine, Glycine and Cystine, represented by the three letter codes Ala, Thr, Gly and Cys respectively. If converted into single letter code the amino acid residues are all "A" "T" "G" or "C" in SEQ ID NO:1. The peptide sequence for GFP listed in Genbank Accesion Number P42212 has the following amino acid sequence:
- 11. MSKGEELFTGVVPILVELDGDVNGHKFSVSGEGEGDATYGKLTLKFICTTGKLP VPWPTLVTTFSYGVQCFSRYPDHMKQHDFFKSAMPEGYVQERTIFFKDDGNYKTRAE VKFEGDTLVNRIELKGIDFKEDGNILGHKLEYNYNSHNVYIMADKQKNGIKVNFKIRHNI EDGSVQLADHYQQNTPIGDGPVLLPDNHYLSTQSALSKDPNEKRDHMVLLEFVTAAGI THGMDELYK
- 12. This sequence for GFP clearly has more amino acid types included in the sequence. If one takes the *single letter code* for the amino acids listed in SEQ ID NO: 1 (ATGC) and lists them out, they comprise the following sequence starting at amino acid 1:

A T G/ G T G/ A G C/ A A G/ G G C/ G A G/ G A G/ C T G/ T T C/ A C C

Further inspection of other sequence IDs provided by applicant reveals

nucleotide SEQ ID NO: 13, which is listed as "Bio-Cart for Calcium". The nucleotide

Art Unit: 1636

sequence for SEQ ID NO: 13 has the following nucleotide sequence starting at nucleotide 1:

ATG/GTG/AGC/AAG/GGC/GAG/GAG/CTG/TTC/ACC

There is 100% nucleotide match between the two for the first few bases. It appears that applicant may have inadvertently converted the individual nucleotides "A" "T" "G" or "C" into amino acids, instead of the ATG, GTG, AGC, AAG codons revealing the correct amino acid sequence.

It is unclear if the amino acid sequence of SEQ ID NO:1 is the amino acid sequence applicants intend, as there is no Tyrosine at amino acid 145, nor is there a Tyrosine (or any other amino acid besides Alanine, Threonine, Glycine, and Cytosine) listed in SEQ ID NO:1 at all. It is unclear if SEQ ID NO:1 is supposed to be a full length YFP (GFP?) or the short YGGSGAS sequence listed in the claim. It is unclear how a peptide can comprise only the 4 amino acids Alanine, Threonine, Glycine and Cystine and still produce a viable fluorescent protein. Thus since all claims depend upon Claim 1, a skilled artisan would be unable to determine the metes and bounds of the claimed invention.

13. Claim 4 (and dependent claims) recites an enhanced inserted yellow fluorescent protein of claim 1, wherein the 192nd amino acid of Proline is replaced by Leucin (SEQ ID NO:2). However there is no Proline at amino acid 192, or listed anywhere, in SEQ ID NO:2 at all. There is no Leucine listed in SEQ ID NO: 2 either. The specification teaches that the amino acid of GFP (not YFP) from which all other fluorescent proteins are derived has a Tyrosine located at amino acid 145, and yet the sequence does not

Art Unit: 1636

have any Tyrosines. Thus there appears to be inconsistencies regarding what protein is listed in SEQ ID NO:2.

- 14. Further inspection of SEQ ID NO: 2 reveals some peculiarities that were noted for SEQ ID NO: 1. A review of the amino acids present in SEQ ID NO: 2 only reveals 4 amino acids total: Alanine, Threonine, Glycine and Cystine, represented by the three letter codes Ala, Thr, Gly and Cys respectively. Thus, if the amino acid sequences listed for SEQ ID NO: 2 are converted into single letter code, they are all "A" "T" "G" or "C". The peptide sequence for GFP listed in Genbank Accesion Number P42212 has the following amino acid sequence:
- 15. MSKGEELFTGVVPILVELDGDVNGHKFSVSGEGEGDATYGKLTLKFICTTGKLP VPWPTLVTTFSYGVQCFSRYPDHMKQHDFFKSAMPEGYVQERTIFFKDDGNYKTRAE VKFEGDTLVNRIELKGIDFKEDGNILGHKLEYNYNSHNVYIMADKQKNGIKVNFKIRHNI EDGSVQLADHYQQNTPIGDGPVLLPDNHYLSTQSALSKDPNEKRDHMVLLEFVTAAGI THGMDELYK
- 16. This sequence for GFP clearly has more amino acid types included in the sequence. If one takes the single letter code for the amino acids listed in SEQ ID NO: 2 (ATGC) and lists them out, they comprise the following sequence starting at amino acid 1:

ATG/GTG/AGC/AAG/GGC/GAG/GAG/CTG/TTC/ACC

Further inspection of other sequence IDs provided by applicant reveals nucleotide SEQ ID NO: 13, which is listed as "Bio-Cart for Calcium". The nucleotide

Art Unit: 1636

sequence for SEQ ID NO: 13 has the following nucleotide sequence starting at nucleotide 1:

ATG/GTG/AGC/AAG/GGC/GAG/GAG/CTG/TTC/ACC

There is 100% nucleotide match between the two, at least for the first few bases. It appears that applicant inadvertently converted the individual nucleotides "A" "T" "G" or "C" into amino acids, instead of the ATG, GTG, AGC, AAG codons revealing the correct amino acid sequence.

Thus it is unclear if the amino acid sequence of SEQ ID NO:2 is the amino acid sequence applicants intend, as there is no Tyrosine at amino acid 145, nor is there a Proline at amino acid 192 (or any other amino acid besides Alanine, Threonine, Glycine, and Cytosine). It is unclear if SEQ ID NO:2 is supposed to be a full length YFP (GFP?) or the short YGGSGAS sequence listed in claim 1. It is unclear how a peptide can comprise only the 4 amino acids Alanine, Threonine, Glycine and Cystine and still produce a viable fluorescent protein. Thus since all claims depend upon Claim 4, a skilled artisan would be unable to determine the metes and bounds of the claimed invention.

#### Conclusion

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kimberly A. Makar, Ph.D. whose telephone number is 571-272-4139. The examiner can normally be reached on 8AM - 4:30 PM.

Art Unit: 1636

Page 8

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Irem Yucel, Ph.D. can be reached on (571) 272-0781. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kam/12/31/06

PRIMARY EXAMINER